



# Practical Considerations for the Conceptual Design of an sCO<sub>2</sub> Cycle

The 6<sup>th</sup> International Supercritical CO<sub>2</sub> Power Cycles Symposium  
March 27-29, 2018, Pittsburgh, Pennsylvania

Aaron McClung, Ph.D., Manager – R&D, [aaron.mclung@swri.org](mailto:aaron.mclung@swri.org)

Natalie Smith, Ph.D., Research Engineer, [natalie.smith@swri.org](mailto:natalie.smith@swri.org)

Tim Allison, Ph.D., Manager – R&D, [tim.allison@swri.org](mailto:tim.allison@swri.org)

Brittany Tom, Research Engineer, [brittany.tom@swri.org](mailto:brittany.tom@swri.org)

Southwest Research Institute, San Antonio, TX

# Agenda

- Conceptual Design Process
- Cycle Design Considerations
- Machinery Design Considerations
- Case Study



# System and Component Design Process

# Step One: Pick your application

## Primary Power

- High grade heat
- Optimized for system efficiency



Concentrating Solar Power



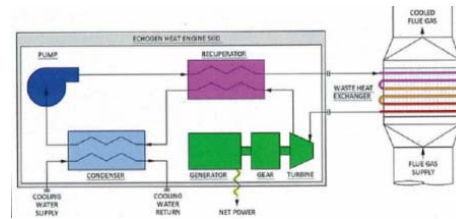
Fossil Fuel



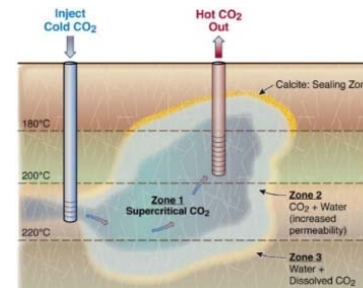
Nuclear

## Bottoming Cycles

- Low grade heat
- Optimized for net power



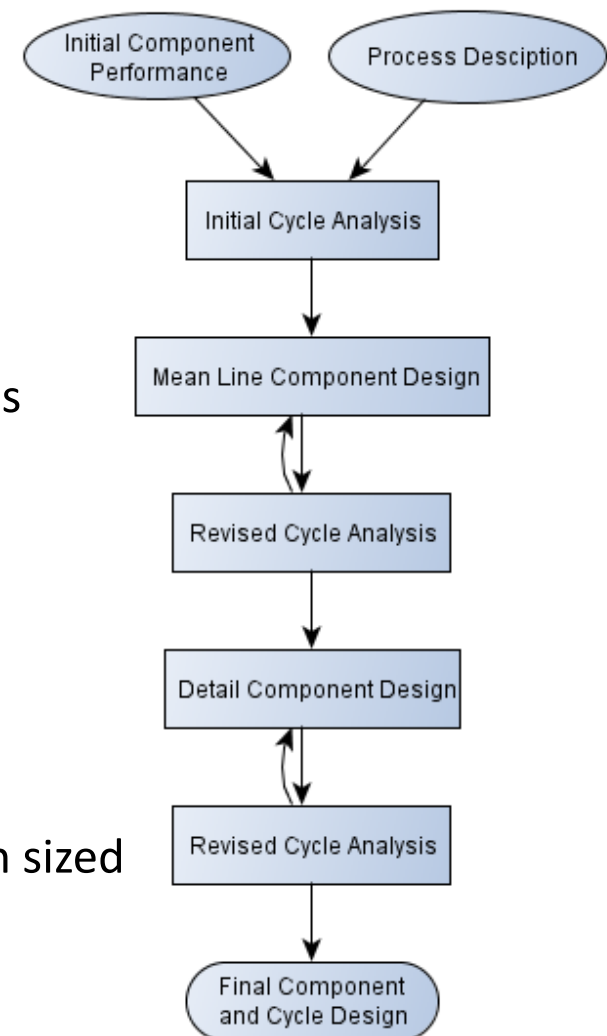
Waste Heat Recovery



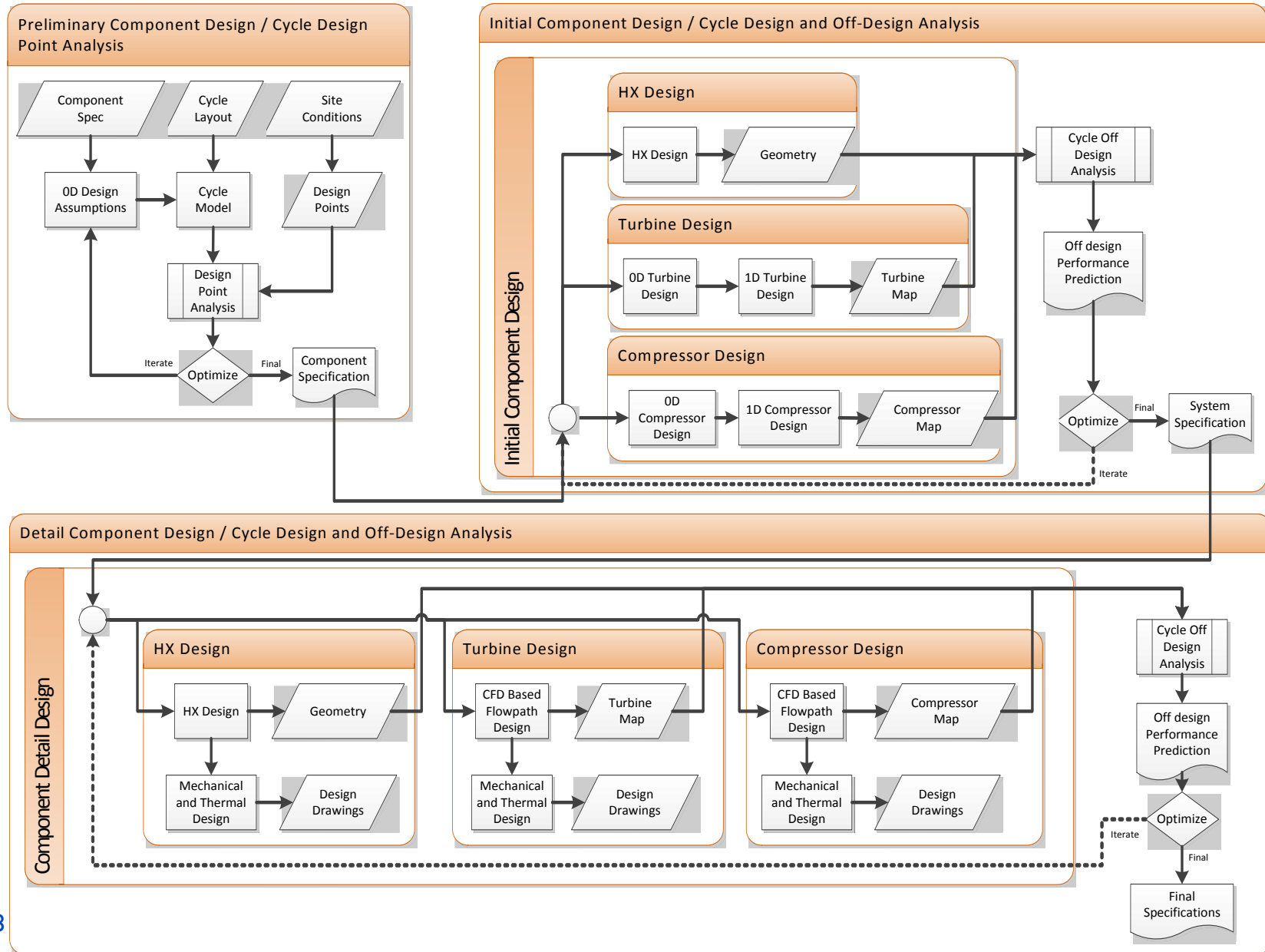
Geothermal

# Step Two: System Definition and Conceptual Design

- Application Requirements
  - Design parameters
  - Objective functions
- Preliminary Cycle Design
  - Assumed component performance
  - Calculate component state points
  - Sweep assumptions to understand system trades
- Preliminary Component Design
  - Initial sizing using 0D and 1D analysis
  - Generate component maps and geometry
  - Refine the system losses
- System Layout
  - Select viable configuration based on machinery limitations
  - Update system performance estimates based on sized components
- Move on to Detail Design



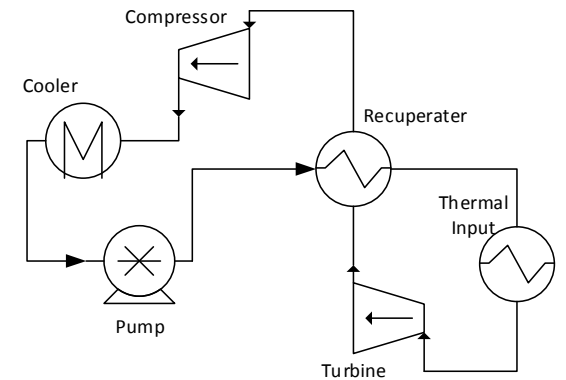
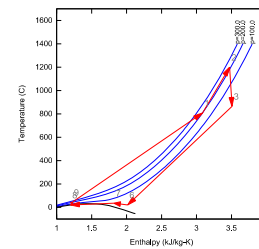
# System Design Process Flow Diagram



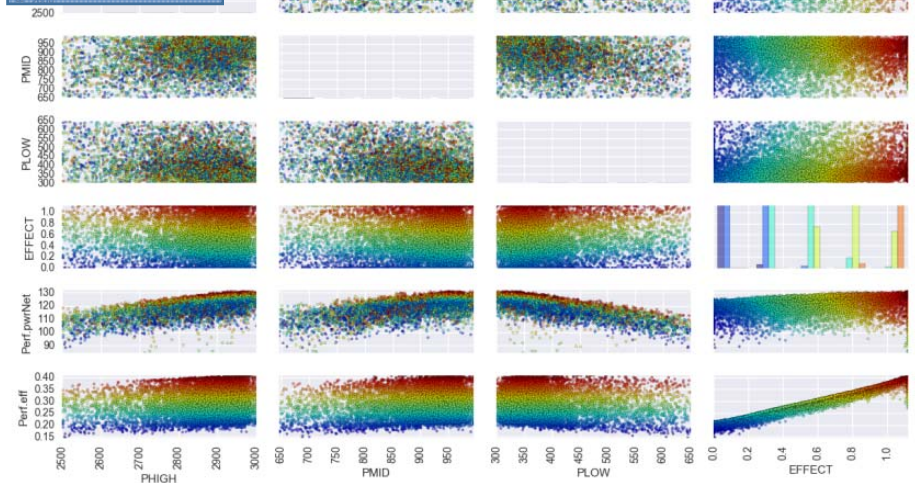
# System and Component Optimization

- System objectives depend on application
  - Performance
  - Cost
  - Operability
- Variety of optimization methods are available
  - Engineering judgement
  - Sweeps
  - Gradient based optimization
  - Genetic algorithms
- Advanced methods require coupling between cycles analysis and component design

Cycle and Component Definition



Cycle Evaluation and Optimization

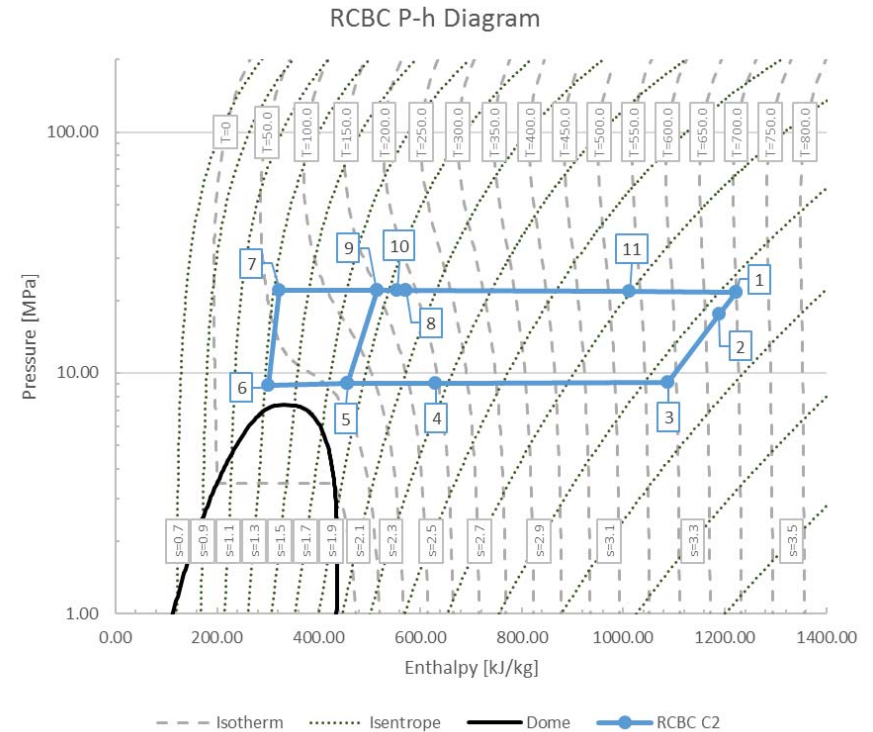
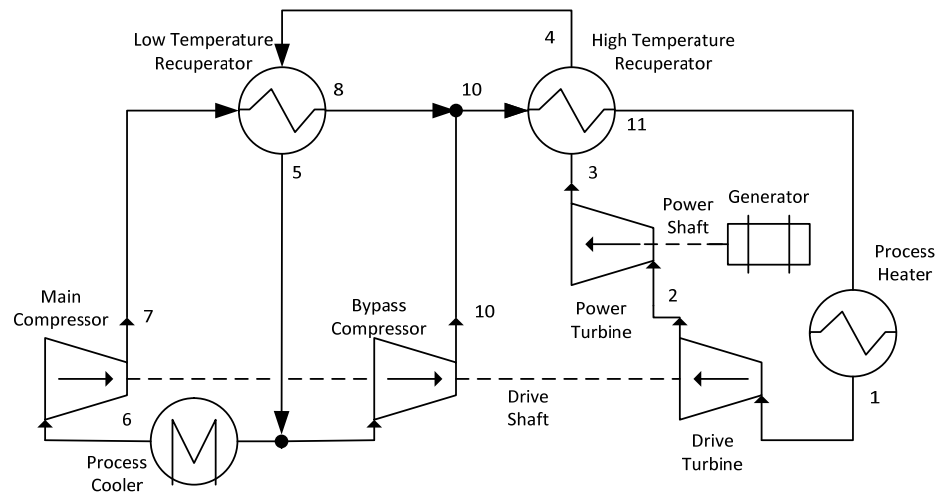




# Cycle Design Considerations



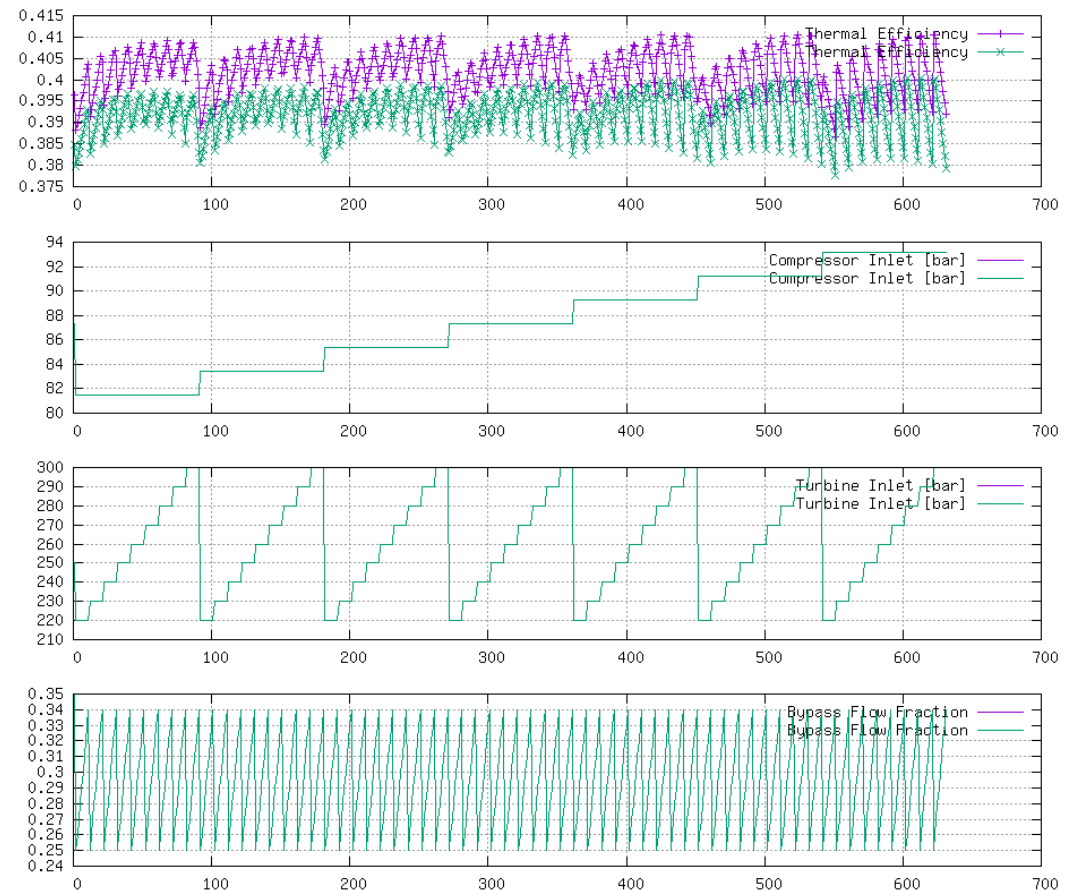
# Recompression Cycle



- Highly recuperated cycle achieves high thermal efficiency
- Flow split used to minimize impact of pinch point in the low temperature recuperator
- Current benchmark cycle for CSP and Nuclear applications

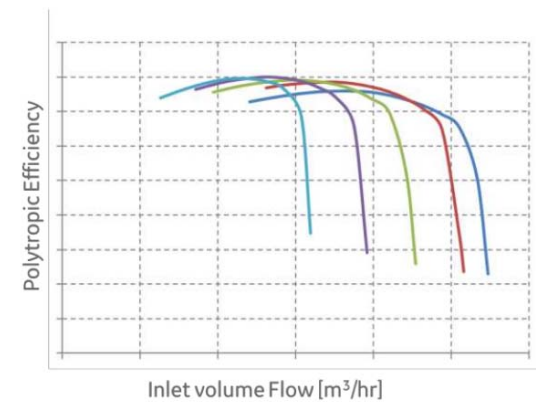
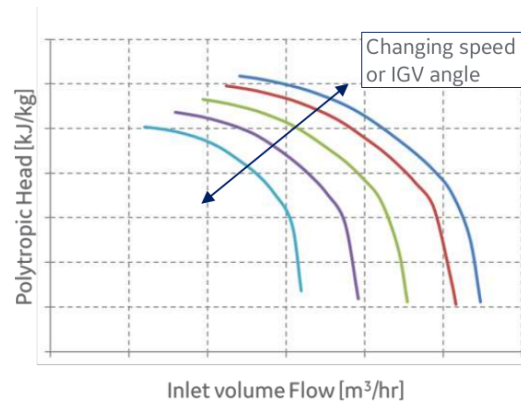
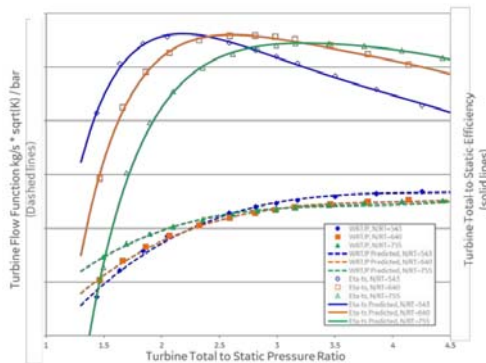
# Cycle Design Point Sweep

- Inputs
  - Select component operating parameters
    - Efficiency and Effectiveness
  - Sweep Design Parameters
    - Turbine Inlet Pressure
    - Compressor Inlet Pressure
    - Split Fraction
- Outputs
  - Design Objectives
    - Thermal Efficiency
    - Mass flow, specific power
  - Component state points (P,T,W) and  $\eta$ 
    - Passed to component design



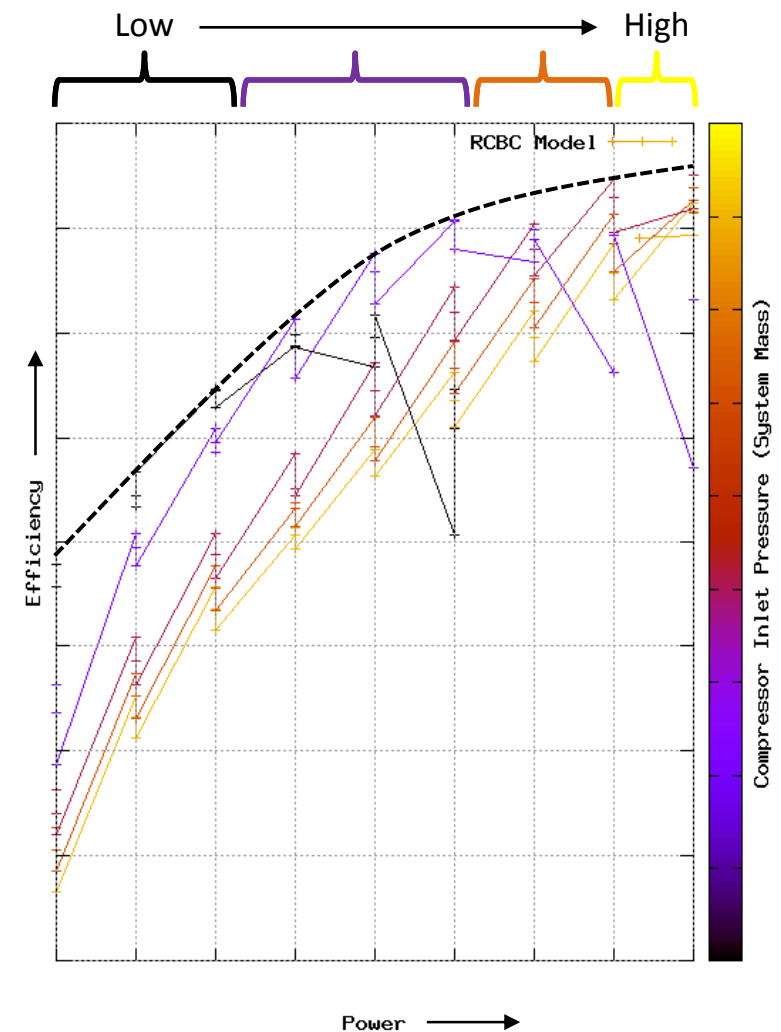
# Off Design Analysis

- System off-design performance is a function of component off-design performance
  - Maps for Turbines and Compressors
  - Sized UA for Heat Exchangers
  - Finite system mass in a closed cycle



# Off Design RCBC Analysis

- Sweeps Used to identify optimal off-design operating conditions
- RCBC Parameters of interest include
  - Bypass Compressor split fraction
  - Main Compressor inlet pressure (system mass analogue)
  - Net Power
- Sweeps computed at constant
  - Turbine inlet temperature
  - Cooler exit temperature
- Simulations provide correlations for system operation and control system development
- Component limitations determine which data points are feasible

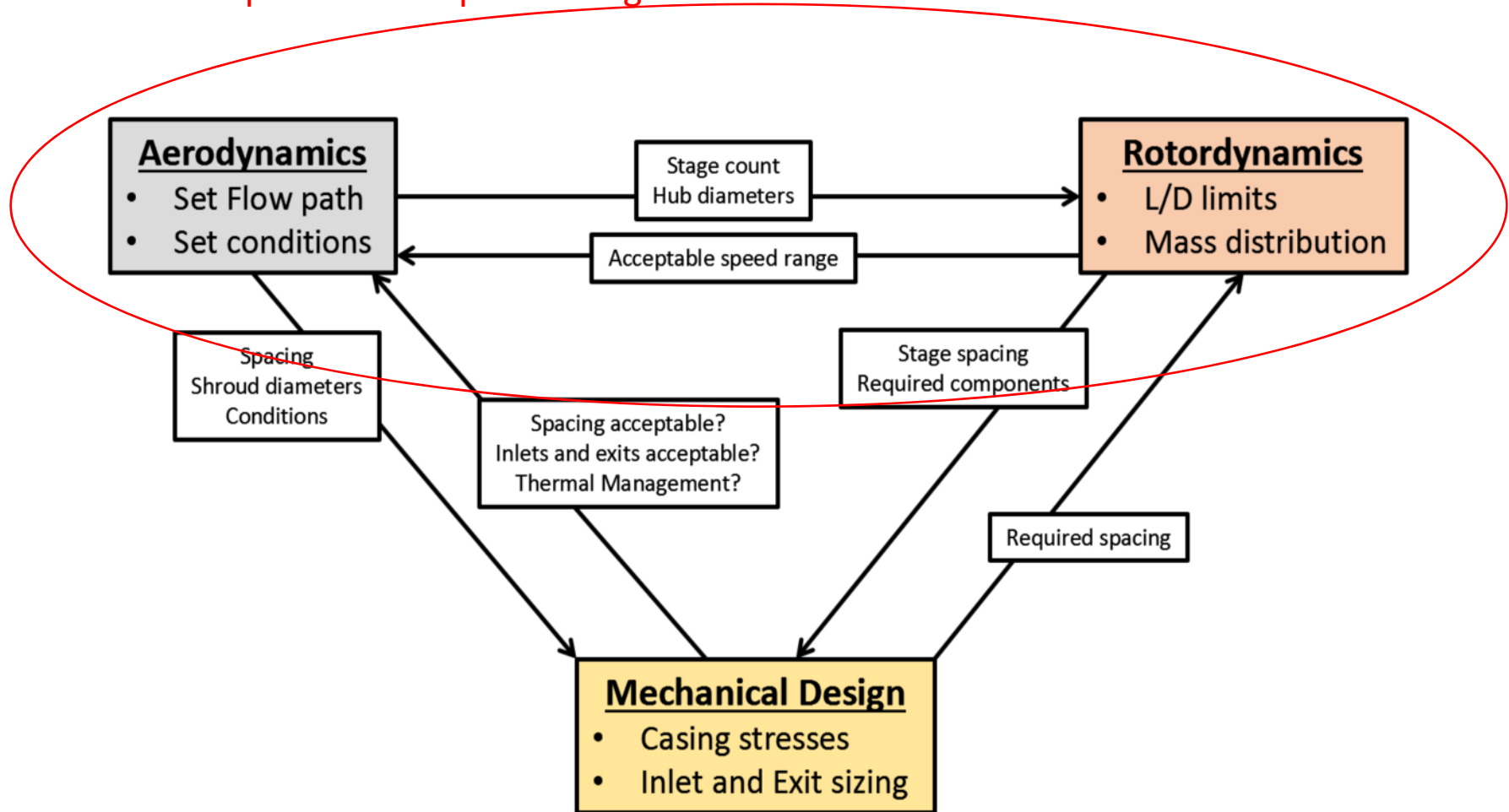




# Machinery Design Considerations

# Mechanical Design Considerations

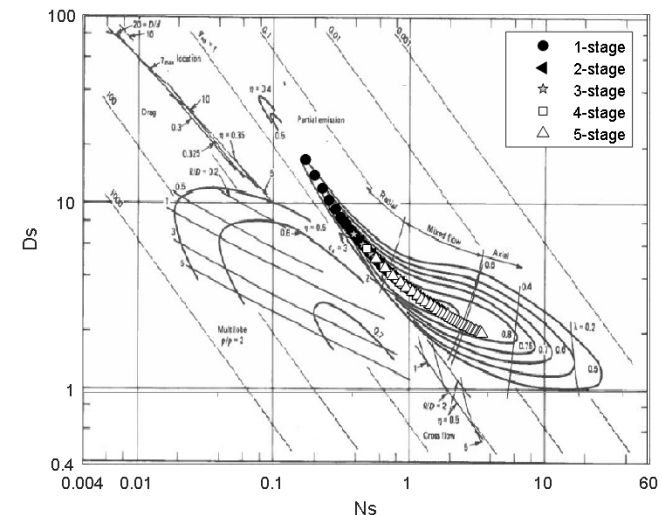
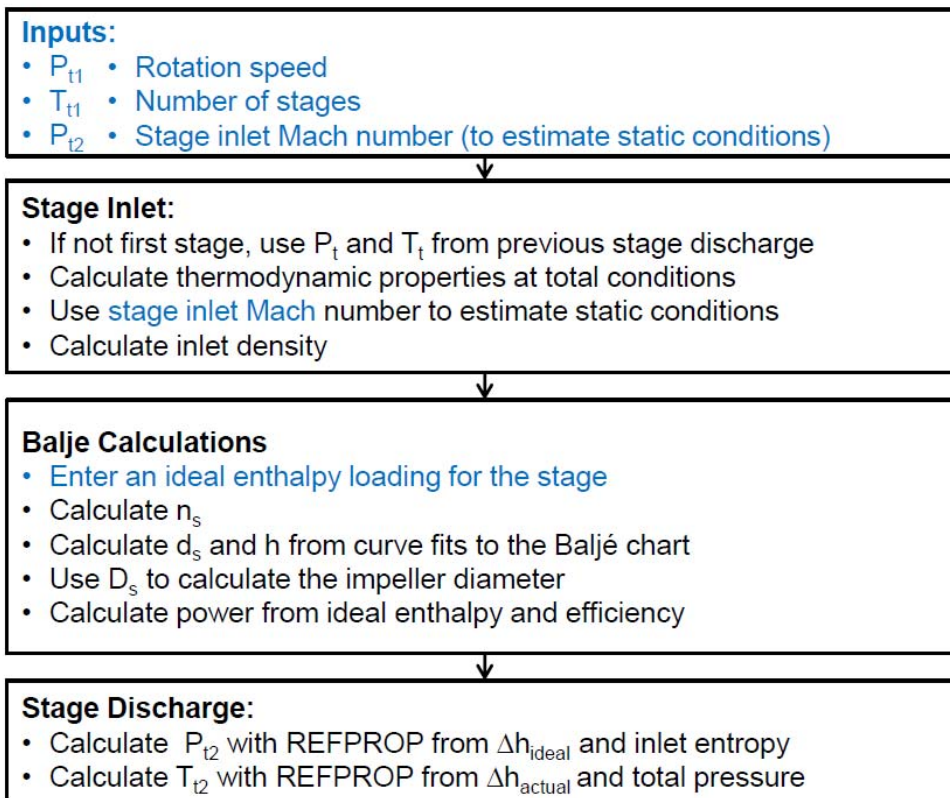
Focus for Simplified Conceptual Design



# Turbomachinery Initial Sizing Methodology



Use experience-based charts for efficiency, specific speed, and specific diameter (Baljé Charts) to investigate optimal stage counts and speed.

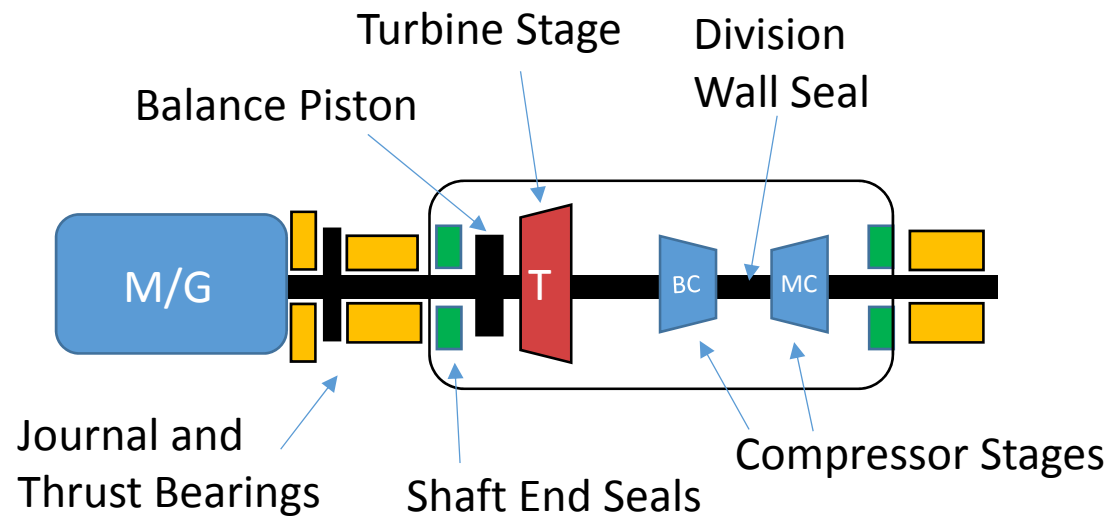


# Conceptual Aerodynamic Sizing

- Compressor Sweeps
  - Baljé-based OD design
  - Efficiencies corrected for expected leakage of division wall, balance piston, eye seals, and hub seals.
- Turbine Sweeps
  - 1D design with NASA RTD code
  - All seal leakage losses included



# Turbomachinery Components



| Component           | Shaft Length Estimate                                     | Shaft Diameter Estimate  |
|---------------------|---|--|
| Aero Stage          | 1-1.2 tip diameters (radial)                              | From aero sizing hub diameter                                      |
| Division Wall       | 0.5 hub diameters   | From aero sizing hub diameter                                      |
| Balance Piston      | 0.5 piston diameter                                       | Average eye diameter from aero stages                              |
| Thermal Management  | 0.3-0.4 shaft diameters per 100 °C temperature difference | Match with other shaft components                                  |
| Dry Gas Seal        | 0.4-1.5 shaft diameters (longer for smaller seals)        | Assume from aero hub diameter                                      |
| Journal Bearings    | 1.0 shaft diameters                                       | Minimum of aero hub diameter or diameter for 110 m/s surface speed |
| Thrust Bearing Disk | 0.2-0.3x disk diameter                                    | 1.2x Average aero tip diameter                                     |

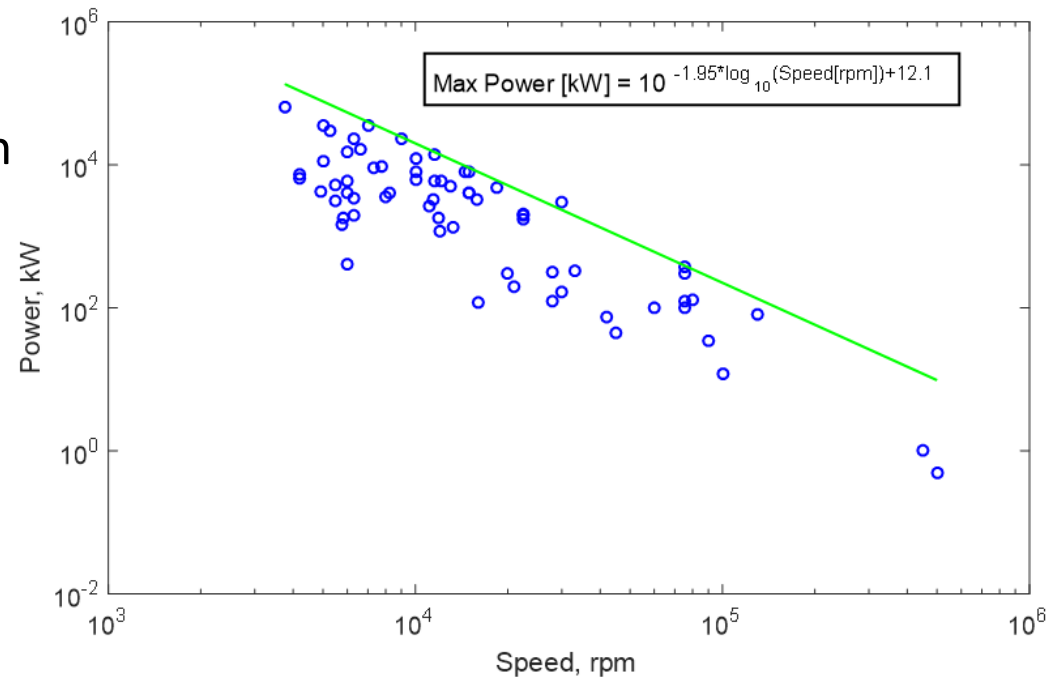


# Turbomachinery Component Limits

| Component          | Limits                                    | Notes  |
|--------------------|---|--|
| Rotordynamics      | Conceptual Limit L/D < 9-12               | Detailed rotordynamic analysis required!                           |
| Shaft Stresses     | 5.0 SF on yield<br>1.5 SF on creep        | Yield SF considers bending, dynamics.                              |
| Balance Piston     | -   | Typically on one end to minimize sealing pressures                 |
| Thermal Management | 0.3-0.4 inch per 100 °C                   | Goal: Axial temperature gradient                                   |
| Dry Gas Seal       | Max speed 55 kprm; Max temperature 230 °C |  |
| Oil Film Bearings  | Max surface speed of 100-110 m/s          |  |
| Coupling           | Generally not a limiting component        | Rigid couplings may be required for large, high-power applications |

# Generator and Gearbox Limits

- Can use gearbox to synchronous generator
  - Max high-speed shaft is ~55krpm for single stage gearbox
  - Higher speeds for more complex gearboxes, also higher losses
  - Gearbox a possibility up to ~50 MW shaft power
  - Gearbox efficiency ~96-98%
- High-speed generator is possible, but will typically impose speed limits based on power
- Integral high-speed machinery with immersed generator likely to have high windage losses.





# Case Study Results



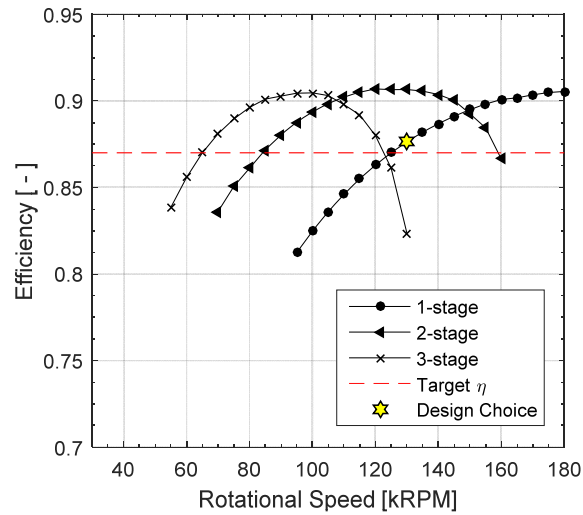
# Cycle Conditions

|                   |                       |        | Cycle Case |         |         |         |
|-------------------|-----------------------|--------|------------|---------|---------|---------|
|                   |                       |        | C1         | C2      | C3      | C6      |
| Main Compressor   | Pt1                   | bar    | 89.00      | 89.00   | 89.00   | 89.00   |
|                   | Tt1                   | C      | 34.98      | 34.98   | 34.98   | 34.98   |
|                   | Pt2                   | bar    | 220.85     | 220.85  | 220.85  | 220.85  |
|                   | W                     | kg/sec | 4.91       | 4.48    | 4.44    | 5.32    |
|                   | Isentropic Efficiency | %      | 65.00      | 80.00   | 85.00   | 64.00   |
| Bypass Compressor | Pt1                   | bar    | 89.99      | 89.99   | 89.99   | 89.99   |
|                   | Tt1                   | C      | 69.61      | 66.56   | 66.04   | 69.41   |
|                   | Pt2                   | bar    | 219.53     | 219.53  | 219.53  | 219.53  |
|                   | W                     | kg/sec | 2.10       | 1.92    | 1.90    | 2.28    |
|                   | Isentropic Efficiency | %      | 60.00      | 75.00   | 75.00   | 67.00   |
| Drive Turbine     | Pt1                   | bar    | 214.95     | 214.95  | 214.95  | 214.95  |
|                   | Tt1                   | C      | 699.99     | 699.99  | 699.99  | 699.99  |
|                   | Pt2                   | bar    | 166.16     | 175.44  | 176.58  | 165.49  |
|                   | Tt2                   | C      | 664.98     | 672.26  | 673.14  | 666.59  |
|                   | W                     | kg/sec | 7.01       | 6.40    | 6.34    | 7.60    |
|                   | Power                 | kW     | 296.00     | 215.00  | 206.00  | 306.00  |
|                   | Isentropic Efficiency | %      | 87.50      | 87.50   | 87.50   | 82.00   |
| Power Turbine     | Pt1                   | bar    | 166.16     | 175.44  | 176.58  | 165.49  |
|                   | Tt1                   | C      | 664.98     | 672.26  | 673.14  | 666.59  |
|                   | Pt2                   | bar    | 91.26      | 91.26   | 91.26   | 91.26   |
|                   | Tt2                   | C      | 587.23     | 587.21  | 587.20  | 594.76  |
|                   | W                     | kg/sec | 7.01       | 6.40    | 6.34    | 7.60    |
|                   | Power                 | kW     | 643.00     | 643.00  | 643.00  | 643.00  |
|                   | Isentropic Efficiency | %      | 88.50      | 88.50   | 88.50   | 82.00   |
| Cycle             | Thermal Efficiency    | %      | 44.60      | 47.80   | 48.20   | 42.60   |
|                   | Shaft Power           | kW     | 643.00     | 643.00  | 643.00  | 643.00  |
|                   | Thermal Input         | kW     | 1442.94    | 1346.19 | 1334.26 | 1508.16 |

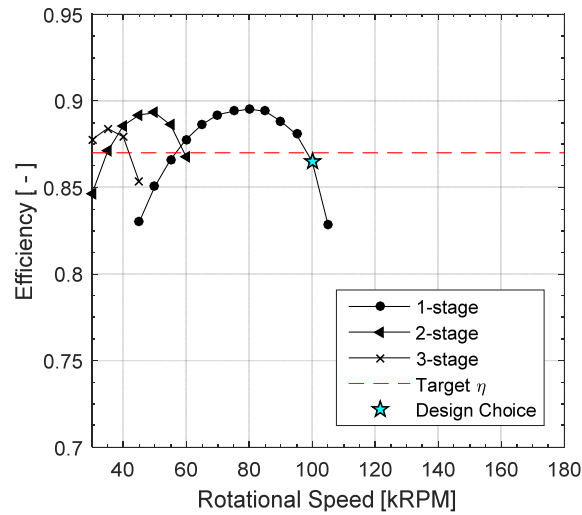
# C2: Turbine Sizing



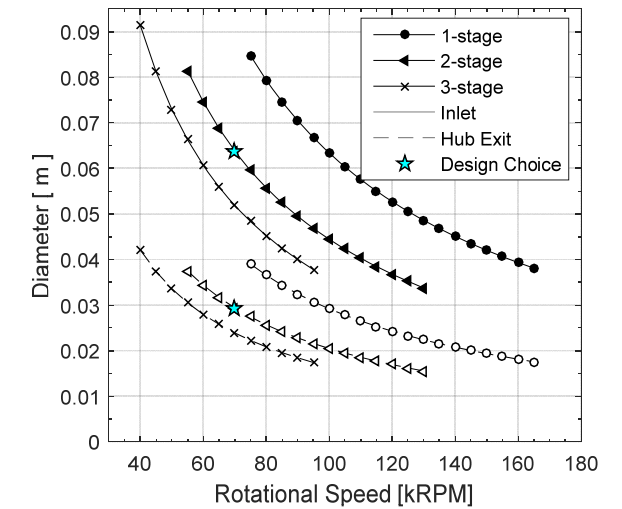
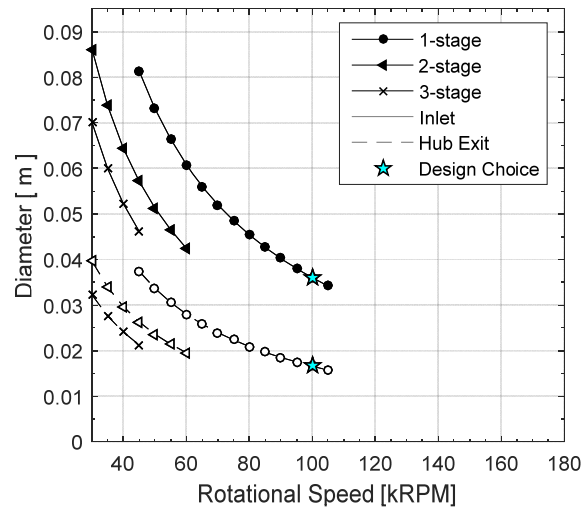
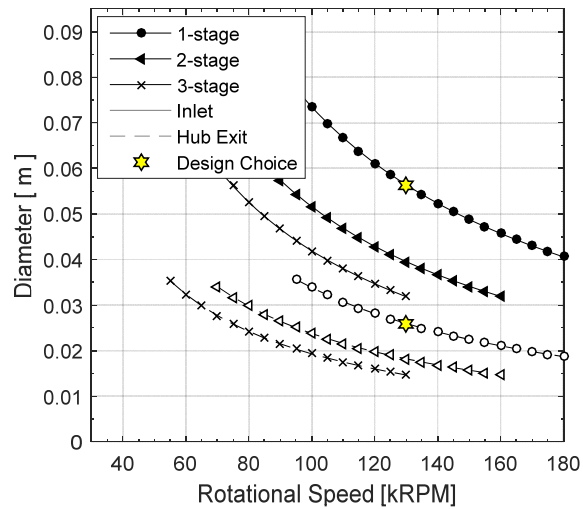
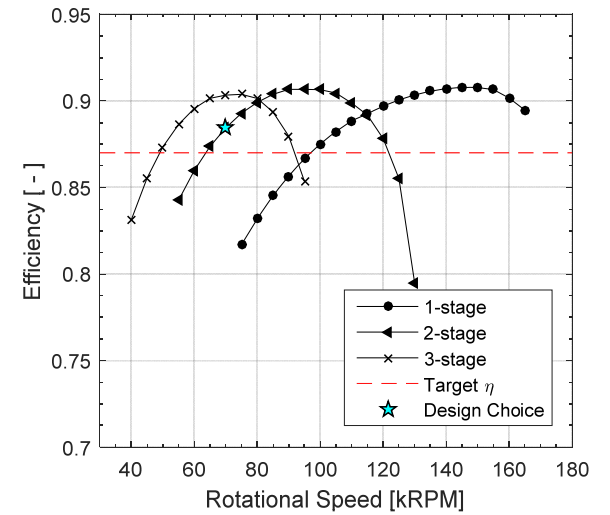
## Single Shaft Turbine



## Drive

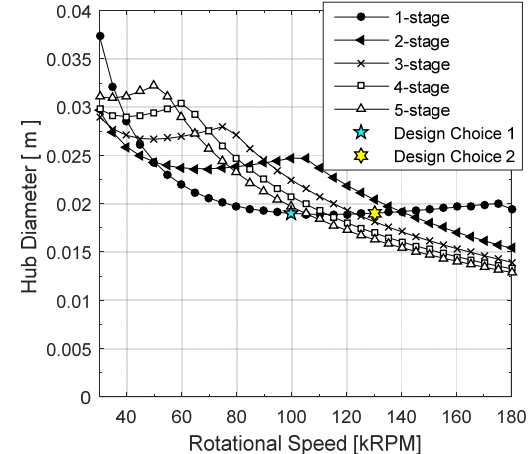
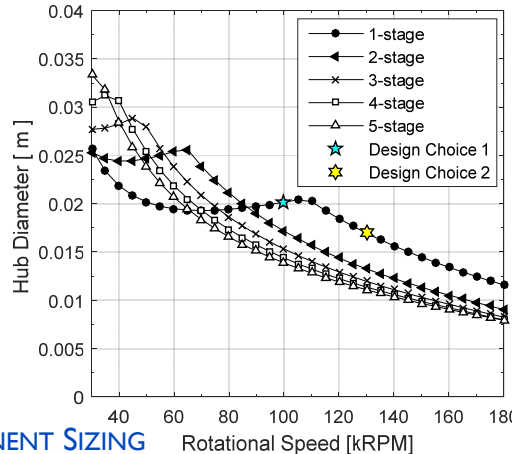
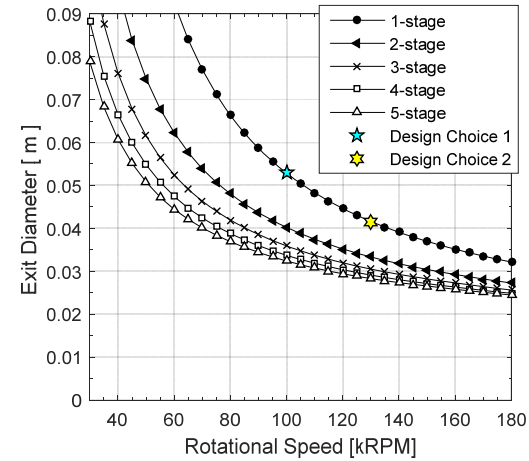
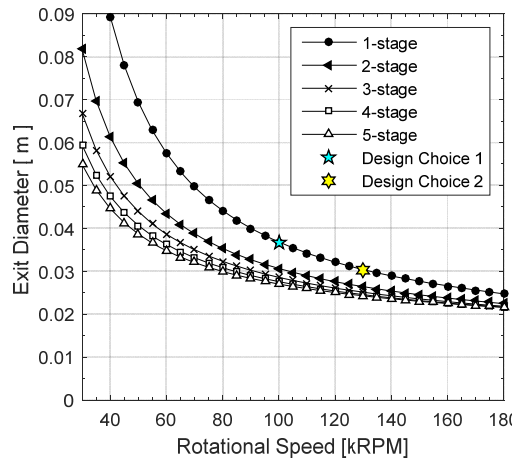
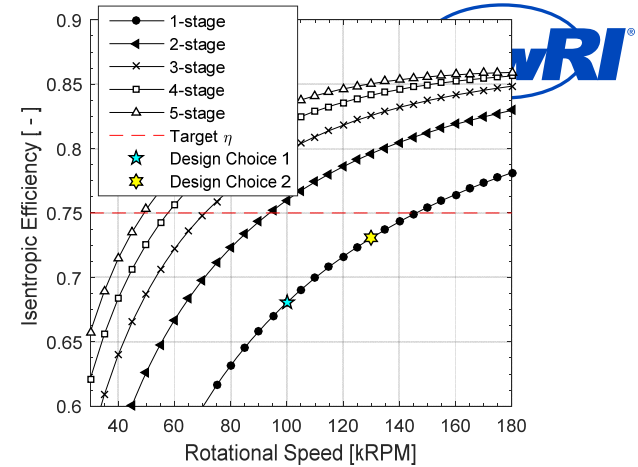
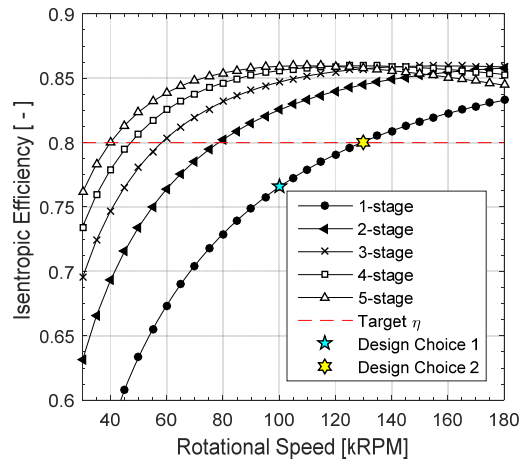


## Power

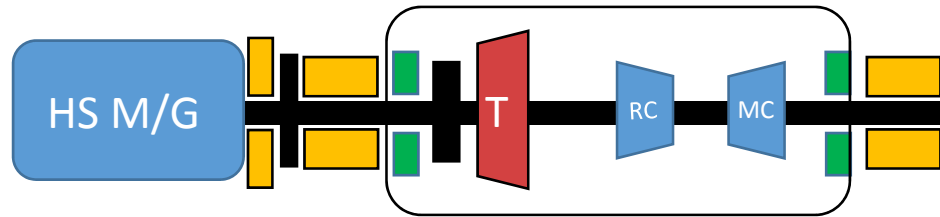


# C2: Compressor & Bypass Compressor Sizing

- Main compressor on left, Bypass compressor on right
- Results show that peak efficiencies occur at very high speeds
- Two design speeds chosen based on motor/generator speed limits and drive turbine matching



# Layout 1



130 krpm single-casing unit

| Component                      | Units |                     |
|--------------------------------|-------|---------------------|
| Main Compressor Efficiency     | -     | 0.79                |
| Bypass Compressor Efficiency   | -     | 0.73                |
| Turbine Efficiency             | -     | 0.88                |
| Main Compressor Hub Diameter   | mm    | 17                  |
| Bypass Compressor Hub Diameter | mm    | 20                  |
| Turbine Hub Diameter           | mm    | 26                  |
| Bearing Span                   | mm    | 332                 |
| Bearing Surface Speed          | m/s   | 109                 |
| L/D                            | -     | 14.9                |
| Min Yield Safety Factor        | -     | 6.0 (at DE bearing) |
| Min Creep Safety Factor        | -     | 4.6 (at turbine)    |

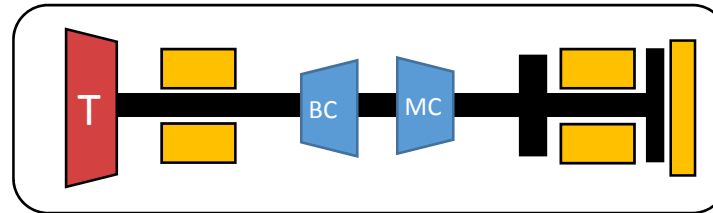


## Layout 1 - Discussion

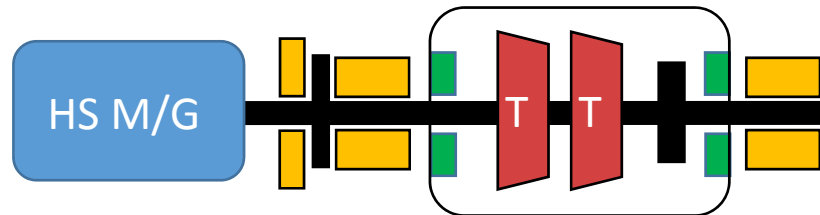
- Layout 1 maximizes machinery speeds, efficiency and minimizes stage count and size
- Assumed operating speed exceeds existing capability of 500 kW motor/generator and also of dry gas seals -> significant technology development/risk
- Shaft L/D very high -> likely rotordynamic challenges even with minimized stage count
- Shaft torque and bearing surface speeds acceptable
- Next layout: Reduce speeds to within motor/generator and seal capabilities

# Layout 2

100 krpm turbo-compressor



55 krpm turbo-generator



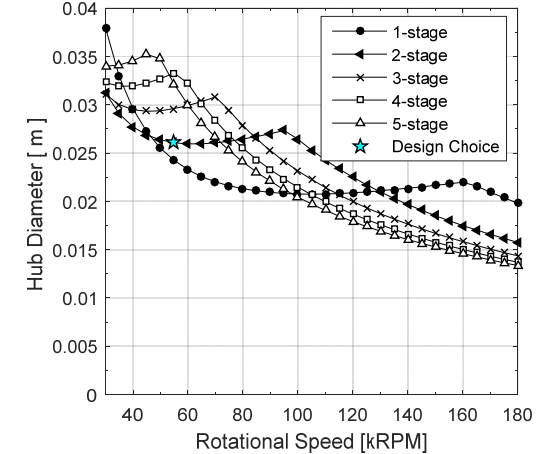
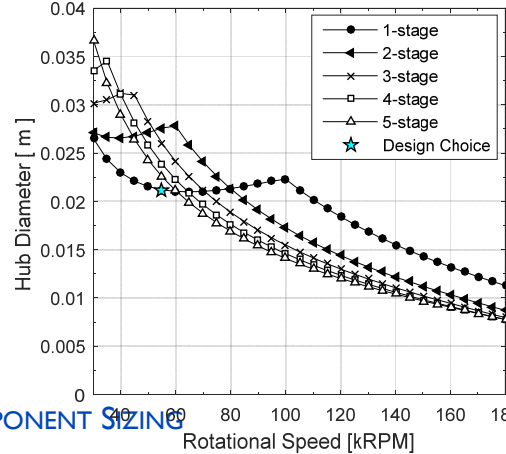
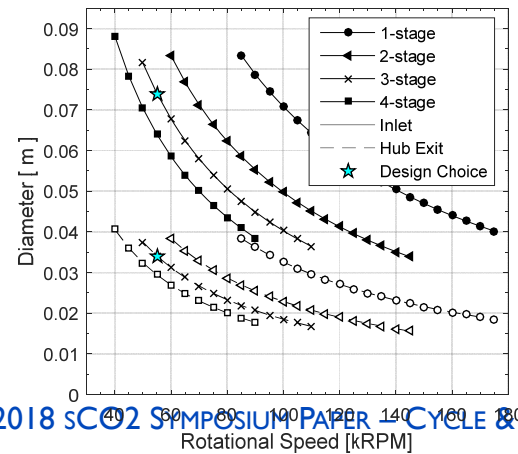
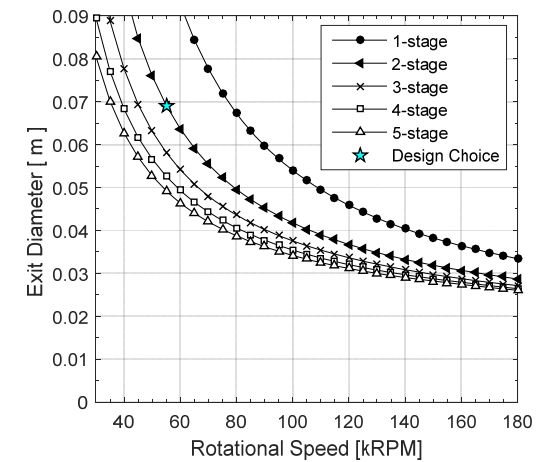
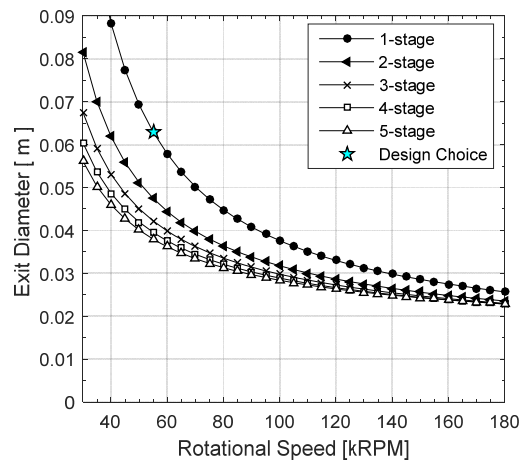
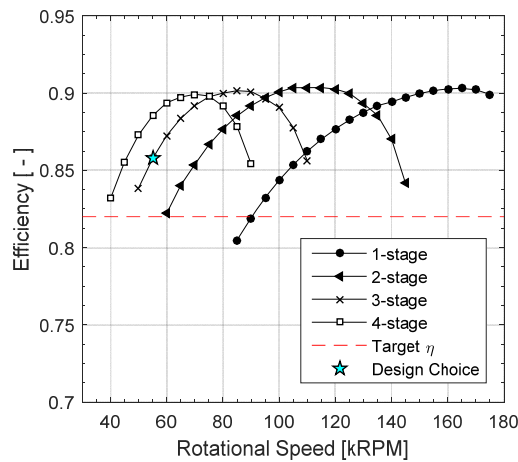
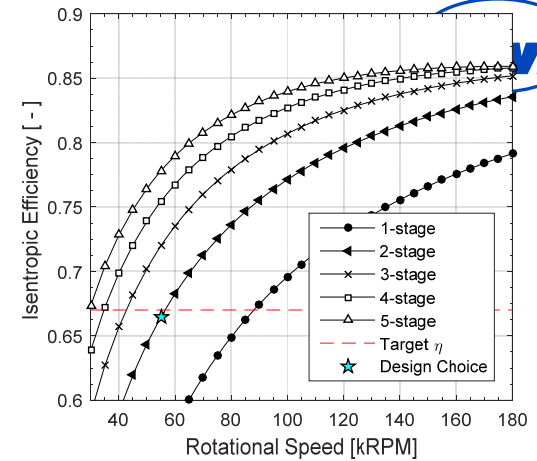
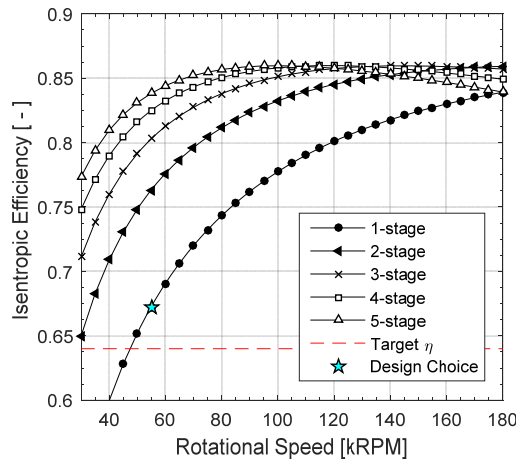
| Component                      | Units | Turbo-Compressor | Turbo-Generator   |
|--------------------------------|-------|------------------|-------------------|
| Main Compressor Efficiency     | -     | 0.77             | -                 |
| Bypass Compressor Efficiency   | -     | 0.68             | -                 |
| Turbine Efficiency             | -     | 0.88             | 0.85              |
| Main Compressor Hub Diameter   | mm    | 21               | -                 |
| Bypass Compressor Hub Diameter | mm    | 20               | -                 |
| Turbine Hub Diameter           | mm    | 20               | 37                |
| Bearing Span                   | mm    | 212              | 413               |
| Bearing Surface Speed          | m/s   | 99               | 104               |
| L/D                            | -     | 10.5             | 11.2              |
| Min Yield Safety Factor        | -     | 18 (at turbine)  | 24.5 (at turbine) |
| Min Creep Safety Factor        | -     | 4.1 (at turbine) | 5.6 (at turbine)  |

## Layout 2 - Discussion

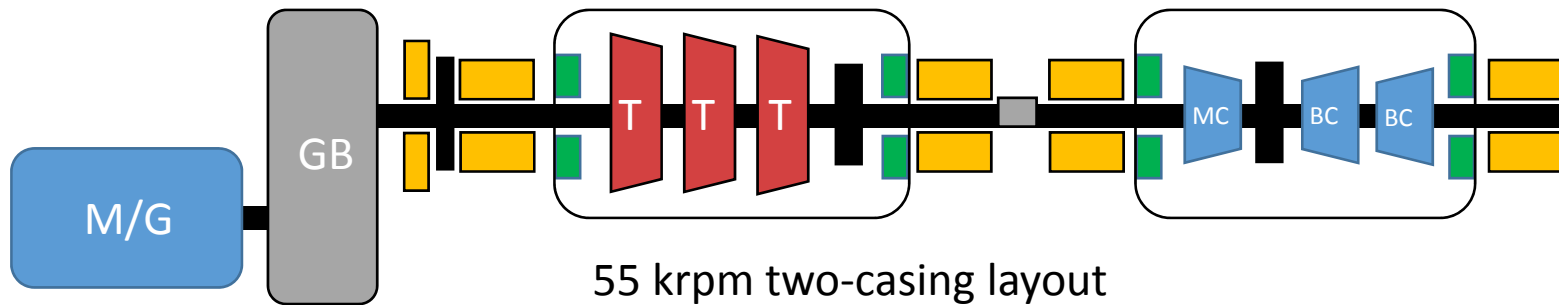
- Layout 2 splits the turbine to maximize compressor speed and efficiency while keeping speeds within component limits
- Efficiencies slightly lower than Layout 1
- Concept assumes immersed bearings for turbo-compressor
  - Likely requires component development and validation of bearing performance and careful minimization and prediction of thrust loads
- Shaft L/D values are high but reasonable. Will need to minimize during detailed design
- Shaft torque and bearing surface speeds good
- Next layout: Reduce all speeds to allow end seals and oil bearings

# C6 Reduced Cycle Efficiency, Minimize Tech Gaps & Risk

55,000 RPM  
 3-stage turbine  
 1-stage main compressor  
 2-stage bypass compressor



# Layout 3



| Component                      | Units | Compressor Unit      | Turbine Unit (2-stage)    | Turbine Unit (3-stage)    |
|--------------------------------|-------|----------------------|---------------------------|---------------------------|
| Main Compressor Efficiency     | -     | 0.67                 | -                         | -                         |
| Bypass Compressor Efficiency   | -     | 0.65                 | -                         | -                         |
| Turbine Efficiency             | -     | -                    | 0.81                      | 0.86                      |
| Main Compressor Hub Diameter   | mm    | 21                   | -                         | -                         |
| Bypass Compressor Hub Diameter | mm    | 26                   | -                         | -                         |
| Turbine Hub Diameter           | mm    | -                    | 42                        | 34                        |
| Bearing Span                   | mm    | 316                  | 447                       | 455                       |
| Bearing Surface Speed          | m/s   | 67                   | 95                        | 95                        |
| L/D                            | -     | 13.0                 | 10.7                      | 13.5                      |
| Min Yield Safety Factor        | -     | 16.7 (at DE bearing) | 17.4 (at GB side bearing) | 17.4 (at GB side bearing) |
| Min Creep Safety Factor        | -     | -                    | 8.1 (at turbine)          | 4.3 (at turbine)          |

## Layout 3 - Discussion

- Layout 3 is the slowest and largest turbomachinery option, but minimizes development risk and effort
- Efficiencies significantly lower than Layout 1
- Can replace gearbox / generator with a high-speed motor/generator
- Shaft L/D values are high (particularly for the compressor unit). Will need to perform further aerodynamic/rotordynamic analysis and optimization during detailed design
- Shaft torque and bearing surface speeds good

## Case Studies - Discussion

- Efficient cycle and machinery design methodology presented for evaluating sCO<sub>2</sub> cycle options within practical component limits
- 500 kW case study highlights the strong influence of component limits on cycle performance at this scale