#### **Polygon Expansion Engine** Waste Heat Energy SCO2 Recovery Cycle Thermodynamic Analysis and **Component Design** Professor Kevin R. Anderson, Ph.D., P.E. Chris McNamara, Joshua Henriquez, Abdon Hernandez, Kris Dapkunas, Eric Park, Brad Kobeissi, Jonathan Wells California State Polytechnic University at Pomona, Solar Thermal Alternative Renewable Energy Lab, Mechanical Engineering 3801 W. Temple Ave, Pomona, CA, 91768 USA



#### Solar Thermal Alternative Renewable Energy Lab

## Outline

- Introduction
- Design Concept
- Thermodynamic Modeling
- Manufacturing Methodology
- Component Stress Analysis
- Conclusions
- References

#### Introduction



- Approximately 280 GW of waste heat is estimated to be expelled annually by
  - Could result in \$70-\$150 billion in savings if salvaged
  - On this scale, any efficiency increase will result in large savings
- SCO<sub>2</sub> offers unique properties as a working fluid for a cycle
  - Relatively low temperatures for supercritical state
  - Unique challenges for pressures and viscosities
    - High pressure ranges, 4–5 times max pressure in typical diesel engines
    - Viscosity poses problems for sealing, dry gas mechanical seals needed
- Relatively recent hardware innovations and green energy initiatives have sparked interest in applications

#### Introduction



- It has recently been recognized that a large quantity of waste heat is generated annually, and thus represents a large opportunity for energy savings
- With burgeoning research in cycles which utilize super critical carbon dioxide as a unique working fluid; herein an optimized thermodynamic cycle development was proposed that would center around a novel expansion device for extracting power from the SCO<sub>2</sub> working fluid

#### Introduction



- Passive and active components in the system
- Passive: Heat exchangers
  - Well formulated design criteria readily available, especially for single phase flow
- Active: Pump/Compressor and Expander
  - Pump/Compressor technology has existed for 20 years for dealing with super-critical carbon dioxide
  - Expander design has become an industry goal for such processes
- Expander design
  - High specific power goals
  - Compatibility with SCO2



The original engine design stemmed from a Polygon engine project sponsored by Butte Industries, Inc. [1,2] while the current evolution of the design stems from the works published in [3–5]



Figure 1a. Polygon Expansion Engine Design Concept [2]



Figure 1b. Polygon Expansion Engine Design Concept [2]



The Mollier diagram for the expansion process in conjunction with the waste heat recovery cycle is shown below in Figure 2



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#### The pertinent thermodynamic state points for the expansion engine are summarized in Table 1

	State Points (cf. Figure 2)	Т (К)	P (MPa)	Density (kg/cu m)	Enthalpy (kJ/kg)	Entropy (kJ-kg-K)
	1	308	12.4	776.61	278.19	1.23
	2	319	20.0	810.49	289.38	1.24
	3	417	20.0	338.83	413.32	1.86
EXPANSION	4	473	20.0	258.82	597.79	2.05
PROCESS [	5	436	12.4	176.78	577.23	2.08
	6	328	12.4	537.76	353.29	1.47

Table 1. Pertinent Thermodynamic State Points for SCO2 Waste Heat Recovery Cycle [2]



#### Cycle efficiency analysis



Figure 3. Cycle efficiency based on temperature drop over heat exchanger [2].



- Specifications
  - SCO2 Mass Flow Rate approx. 30 gm/s = 238 lb/hr
  - 300 RPM operating speed
  - 0.8~1.1 kW power generation
- The modular design shown in Figure 1 allows the ability to have multiple engines stacked in series as shown below in Figure 4



Figure 4. Stacked Polygon Expansion Engine Design Concept [3,4]. 4th Intl. SCO2 Power Cycles Symposium, Pittsburgh, PA, 2014 9/10/2014



- A key component of the system design was the modeling of chamber pressure as this would drive all design modifications
- The modeling was centered around a polytropic model which spurred a new methodology for determining the polytropic index as detailed in [5]
- The chamber thermodynamic behavior is detailed in Figure 5 through Figure 7 below





Figure 5. Pressure Volume Diagram of Expansion Process [5].



Figure 6. Pressure Enthalpy Diagram of Expansion Process [5].



Figure 7. Temperature Entropy Diagram of Expansion Process [5].



# P,T, p as a function of stroke during expansion process

• Note: SCO2  $\rho$  remains below critical state during expansion, while p & T remain above critical state





The nominal polytropic index was found per [5]

$$\frac{(n-2k_{avg}+1)}{(1-n)} \left[ \left( 2\zeta x_f + 1 \right)^{1-n} - 1 \right] = \frac{2\rho_o \left( u(\rho,T) - u_o \right) \left( k_{avg} - 1 \right)}{P_o}$$

k =Ratio of specific heats

n =Polytropic Exponent

 $u(\rho,T)$  = Internal Energy of SCO2 per NIST REFPROPS

Iteration	Т (К)	P (MPa)	T <sub>error</sub> (%)	P <sub>error</sub> (%)	k <sub>avg</sub> /n
1	436	12.4	8	35	1.580/1.302
2	420	11.0	4	20	1.600/1.304
3	405	9.2	0.02	0.02	1.615/1.306

Table 2. Nominal Polytropic Index Iteration Procedure [5].

4th Intl. SCO2 Power Cycles

Symposium, Pittsburgh, PA, 2014 9/10/2014

- The manufacturing process selection was crucial to obtaining a design that could be produced
- An initial trade study was performed to determine the feasibility of using silicon carbide (SiC) due to low material costs, availability, and potential mechanical properties but was ultimately turned down due to lack of material standardization and machining costs involved when produced with the required strength specifications

- Due to the relatively low operating temperatures, various steels were chosen to meet loading requirements and provide thermal expansion uniformities
- The present design is shown in Figure 11 as an assembly rendering



Figure 11. Assembly Rendering of SCO2 Expansion Engine

 Figure 12 through Figure 16 show detailed drawings of the primary components comprising the expansion engine design



ITEM NO.	PART NUMBER	DESCRIPTION	Material	Finish	QTY.
1	2500-802_B_exhaust-sleeve-standard_V2	EXHAUST_SLEEVE	AISI 1020	SAND CASTED/POST MACHINED	5
2	2500-701_A_end-piece_V2	END_PIECE	AISI 1045 Steel, cold drawn	CNC MACHINED	2
3	2500-206_A_corner-combustion_V2	CORNER_COMBUSTION_CHAMBER	AISI 1020	SAND CASTED/POST MACHINED	4

Figure 12. Detailed Drawing and Bill of Materials for Combustion Chamber Subassembly



ITEM NO.	PART NUMBER	DESCRIPTION	Material	Finish	QTY.
1	2500-604_A_back-disk	BACK_DISK	AISI 1020	SAND CAST/POST MACHINED	1
2	Main Shaft Support^Full Assembly	MAIN_SHAFT_SUPPORT	AISI 1045 Steel, cold drawn	CNC MACHINED	2
3	-1		AISI 1045 Steel, cold drawn	CNC MACHINED	1
4	2500-603_A_front-disk	FRONT_DISK	AISI 1020	SAND CASTED/POST MACHINED	1
5	-1		AISI 1020	SAND CASTED/POST MACHINED	1



Figure 13. Detailed Drawing and Bill of Materials for Disc Subassembly

Figure 14. Detailed Drawing and Bill of Materials for Disc Crankshaft Subassembly



ITEM NO.	PART NUMBER	DESCRIPTION	Material	Finish	QTY.
1	2500-609_A_push_rod_end	PUSH_ROD_END	AISI 1045 Steel, cold drawn	CNC MACHINED	2
2	2500-608_A_Bolt38	BOLT_3/8"	STEEL	COATED	4
3	2500-516_A_neddle_bearing_cam	NEDDLE_BEARING_CAM	AISI 1045 Steel, cold drawn	CNC MACHINED	2
4	2500-610_A_push_rod_center	PUSH_ROD_CENTER	AISI 1045 Steel, cold drawn	CNC MACHINED	1



ITEM NO.	PART NUMBER	DESCRIPTION	Material	Finish	QTY.
1	2500-614_A_piston_rod	PISTON_ROD	AISI 1045 Steel, cold drawn	CNC MACHINED	1
2	2500-220_A_piston_bearing	PISTON_BEARING	AISI 1045 Steel, cold drawn	CNC MACHINED	2
3	2500-219_A_piston_rocker_pin	PISTON_ROCKER_PIN	AISI 1045 Steel, cold drawn	CNC MACHINED	2
4	2500-205_A_piston_end-piece-dome	PISTON_END_PIECE_DOME	AISI 1045 Steel, cold drawn	CNC MACHINED	1
5	99142A390	INTERNAL_RETAINING_RING	STEEL	BLACK-FINISH	2
4	2500-205_A_piston_end-piece-dome 99142A390	PISTON_END_PIECE_DOME	AISI 1045 Steel, cold drawn STEEL	CNC MACHINED BLACK-FINISH	

Figure 15. Detailed Drawing and Bill of Materials for Pushrod Subassembly

Figure 16. Detailed Drawing and Bill of Materials for Piston Rod Subassembly

### **Component Stress Analysis**



- Finite element analysis using NX software was employed to predict the stresses in the major components of the SCO2 Polygon Expansion Engine
- Figure 17 through Figure 19 show typical results



### **Component Stress Analysis**



Figure 17. FEA Stress Analysis for Disc Assembly



Figure 18. FEA Stress Analysis for Crankshaft Assembly



### **Component Stress Analysis**



Detailed precision hand calculations were also performed at the machine component level based on the practices outlined in Shigley and Mischke [6]. Relevant findings are highlighted below in Table 3.

Engine Component	Stress Mode	Max. Stress (ksi)	F.S. (Static/Fatigue)
Wrist Pin	Bending	98	2.04/1.53
Connecting Rod	Compression	27	2.90/2.53
Center Shaft	Compression	48	2.93/1.50
Disc	Bending	16	4.57/4.95

Table 3 - Relevant Stress Analysis Results

#### Conclusions



- This paper summarizes the thermodynamic modeling, machine deign layout and component level stress analysis of a Polygon Expansion Engine for use in a SCO2 Waste Heat Recovery Cycle
- Working design
  - High specific power
  - Modular design for expandability
- Issues
  - Appropriate bearing choices
  - Good lubrication but unbounded by appropriate bearings
- Bottom line: Viable design with a few unbounded issues
- Future work will include
  - Analysis and design of lubrication system for the engine
  - Engaging venture capitalists and National Labs in order to sponsor the funding required to fabricate a proto-type working engineering model of the engine

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